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# $\pi$ -electron content of rings in polycyclic conjugated compounds – A valence bond based measure of local aromaticity

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# ABSTRACT

In 2004 Randić and Balaban employed Kekulé structures to assess the  $\pi$ -electron content (EC) of rings in benzenoid hydrocarbons. In this paper we showed how ab initio Valence Bond (VB) calculations based on the set of Kekulé structures of a given polycyclic conjugated molecule can be used to obtain more accurate  $\pi$ -electron contents of rings (VB-EC) as the true computed weights of the Kekulé structures are used, instead of postulating that all Kekulé structures have equal weights as in the EC formula. In the case of benzenoid hydrocarbons, the values of VB-EC and EC are very close. The main difference is found for linear polyacenes, for which, contrary to the EC, the VB-EC values predict that the inner rings are more aromatic than the terminal rings. The original EC method has also the disadvantage that it cannot be applied to heterocyclic conjugated molecules. It was shown that the VB-EC method can be used to assess the local aromaticity in aza derivatives of naphthalene. The calculated VB-EC values were compared with several other aromaticity indices, namely: energy effect (ef), harmonic oscillator model of aromaticity (HOMA) index, six center delocalization index (SCI) and nucleus independent chemical shifts (NICS). The best correlation was found between the VB-EC and SCI, implying that these two indices carry similar information on the local aromaticity.

Keywords: Valence-Bond Theory Aromaticity Polycyclic aromatic hydrocarbons Kekulé structures

# 1. Introduction

Aromaticity is among the most important concepts in chemistry [1–3]. It is closely related to cyclic electrons delocalization that provides extra stability to molecular systems. The quantitative measure of the stabilizing effect caused by cyclic electrons delocalization is the resonance energy. The concept of resonance was put forward by Pauling and Wheland within the framework of Valence Bond (VB) theory [4]. Later, various other approaches were also introduced to calculate the resonance energy. Among the most employed, one can find: Dewar's method [5], Hess-Schaad's method [6], graph theoretical method [7,8], Randić's conjugated circuits method [9], Herndon's semi-empirical VB method [10,11], and the block-localized wave function method [12-14]. Aromaticity is not an observable or directly measurable quantity, and therefore this concept has no unique definition [1,9]. There is a series of different criteria of aromaticity, namely energetic [1], electron delocalization [2,3], geometric [1,15,16], magnetic [17,18] and reactivity based criteria [19]. Resonance energy and

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more generally the aromatic stabilization energy [1] are widely accepted energetic criteria to measure aromaticity. The choice of the appropriate reference system is crucial in calculations of the energetic aromaticity indices. Even for benzene, as a prototype aromatic molecule, there is a lot of controversy about its resonance energy [20–22]. Although the concept of resonance energy originates from Valence Bond theory, nowadays most of the employed aromaticity indices are obtained using MO and DFT calculations.

The central concept of VB methods [23,24] is to construct and compute a wavefunction, for a given molecular system, that is a linear combination of VB structures each of which maps to a corresponding Lewis structure. As a consequence, the concept of resonance is deeply rooted into VB theory. In the case of polycyclic aromatic hydrocarbons (PAHs), it is well known that their electronic and physical properties can be sufficiently described, at least at a qualitative and semi-quantitative level, by the corresponding set of Kekulé structures [25]. Kekulé structures have been a subject of interest for theoretical chemists for more than a century. There are several theories based on the Kekulé structures which have been successfully applied in different fields of PAH chemistry. Among the most influential ones are: Clar's aromatic sextet theory [26,27], Randić's conjugated circuit theory [9], the partition of

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 $\pi$ -electrons into rings [28,29], Kekulé structures based ring currents [30] and the topological index of reactivity [19].

In 2004 Randić [31] put forward a concept of the so-called "algebraic Kekulé structures". The algebraic Kekulé structures are obtained from the regular Kekulé structures by counting the number of  $\pi$ -electrons which belong to each ring of the considered benzenoid molecule. In the given Kekulé structure, double bonds shared by two rings are assumed to contribute to one  $\pi$ -electron to each ring, whereas other double bonds contribute through both of their  $\pi$ -electrons to the ring they belong to. An example, illustrating the construction of the algebraic Kekulé structures, is given in Fig. 1. It has been shown that, in the case of catacondensed benzenoid molecules, there is a one-to-one correspondence between ordinary and algebraic Kekulé structures [32]. The algebraic Kekulé structures were further employed to assess the  $\pi$ -electron contents of rings in benzenoid hydrocarbons [28,29].

In the present paper we show how VB calculations based on the set of Kekulé structures can be used to obtain the local aromaticity distribution in a series of polycyclic aromatic compounds (Fig. 2). As seen from Fig. 2, all planar catacondensed benzenoid hydrocarbons with 3, 4 and 5 hexagons, as well as all non-symmetrical monoaza and diaza naphthalene derivatives (a total of 22 molecules, 59 symmetry-non-equivalent hexagonal rings) were considered. The results obtained using VB calculations were compared with the several different indices of aromaticity, namely the energy effect (*ef*) [33,34], the harmonic oscillator model of aromaticity (*HOMA*) index [15,35], the six center delocalization index (*SCI*) [36,37] and the nucleus independent chemical shifts (*NICS*) [17,38].

# 2. Methodology

# 2.1. VB theory

The wave function  $|\Psi\rangle$  of a given molecular system in VB theory is expressed as a linear combination of VB structures:

$$|\Psi\rangle = \sum_{I} C_{I} \Phi_{I} \tag{1}$$

with each structure being a spin-adapted determinant or combination of determinants, also called Heitler-London-Slater-Pauling (HLSP) functions, that maps with a specific Lewis (here Kekulé) structure. In most applications, the VB orbitals on which the determinants are built separate into one or several active and inactive sets of orbitals. In this work, the  $\sigma$  orbitals are inactive and described by delocalized MO, i.e. they are allowed to expand onto the whole basis of functions (compatible with the  $\sigma$  symmetry), whereas the  $\pi$  orbitals are taken as active and are only allowed to expand on  $\pi$ -type basis functions that are centered on one specific atom only. Such orbitals are usually referred to as Hybrid Atomic Orbitals (HAOs). These constraints of strict localization of active orbitals therefore imply to use non-orthogonal VB orbitals. Such VB wave functions where the active orbitals are strictly localized belongs to the "classical VB" branch of Valence Bond theory [23,24]. In the present work, the VB Self-Consistent-Field (VBSCF) method was used, in which a common set of orbitals are used for all VB structures, and both the VB orbital coefficients onto the basis of functions and the structural coefficients are optimized simultaneously to minimize the total energy. The VBSCF method is thus the equivalent of the MCSCF method in MO theory.

In order to test the quality of VBSCF wave functions that include only a small selection of covalent structures (only the Kekulé type of structures), some calculations have also been performed with the same selection of structures, but using Overlap Enhanced Orbitals (OEOs) in place of HAOs. The OEOs are obtained starting from a converged set of HAOs (and delocalized inactive) orbitals, and allowing both all active and inactive orbitals to expand onto the whole basis of functions. In practice, OEOs obtained this way remain essentially localized on one atom, but with small delocalization tails over all other atoms (mainly the close neighbors) in the molecule, so that to implicitly account for some missing spin-coupling and missing ionic structures. Using OEOs thus allows a great deal of static correlation to be included while still using a limited selected set of formally covalent structures. Note that the VB wave function including a complete set of covalent structures (all possible spin-couplings) in conjunction with OEOs is usually referred to as the Spin-Coupled (or full Generalized Valence Bond) wave function.

The VB structures weights are not unique, and thus different definitions have been proposed [23,24]. The most commonly used is the Coulson-Chirgwin formula [39], which is the equivalent of the Mulliken population analysis for structure weights:

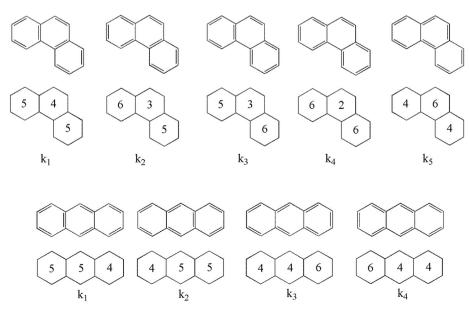


Fig. 1. Kekulé structures and algebraic Kekulé structures of phenanthrene and anthracene.

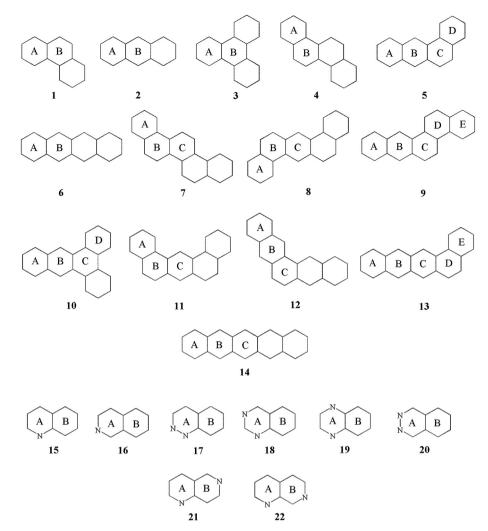


Fig. 2. Set of polycyclic conjugated molecules studied with the molecular nomenclature employed; symmetry unique rings are denoted by capital letters.

$$W_{I}^{CC} = C_{I}^{2} + \sum_{I=1}^{N} C_{I} C_{J} S_{IJ}$$
 (2)

where  $S_{IJ}$  is the overlap integral of two VB structures. Although the Coulson-Chirgwin weights are standard, in the case of large overlap this definition becomes meaningless, and sometimes small contributors may come out with negative weights. In order to overcome this issue, one may use the Löwdin scheme [40]:

$$W_{I}^{Lowdin} = \sum_{J,L} S_{IJ}^{1/2} C_{J} S_{IL}^{1/2} C_{L}$$
 (3)

Lowdin formula often leads to larger weights for the minor structures as compared with other formula. Another proposition is the inverse-overlap weights, which can be calculated by means of the Norbeck-Gallup formula [41]:

$$W_I^{lnverse} = \frac{NC_I^2}{S_{II}^{-1}} \tag{4}$$

Last, to circumvent the overlap issue, the simple renormalized (Hiberty) weights may also be used:

$$W_I^{\text{Renorm}} = NC_I^2 \tag{5}$$

where *N* stands in each case for the appropriate normalization factors, that leads to weights which sums to 1.

# 2.2. The EC and VB-EC formulas

In the present paper a new measure of local aromaticity based on *ab initio* VB calculations is proposed. The VB-based  $\pi$ -electron content (*VB-EC(R)*) of a ring *R* is defined as follows:

$$VB - EC(R) = \sum_{k=1}^{K} W_k \cdot EC(R, k)$$
 (6)

where K is the total number of Kekulé structures, EC(R,k) is the number of  $\pi$ -electron in ring R of the given Kekulé structure k whose VB weight is  $W_k$ , and summation goes over all Kekulé structures. The EC(R,k) numbers for a given molecule can be obtained by constructing the so-called algebraic Kekulé structures, as mentioned in the Introduction section. It should be noted that the  $\pi$ -electron content, EC(R) was originally introduced by Randić and Balaban [28,29]. One can immediately recognize that our approach is equivalent to the Randić-Balaban method, i.e.:

$$VB - EC(R) = EC(R) \tag{7}$$

if all Kekulé structures are equally weighted:

$$W_k = \frac{1}{K}$$
 for  $k = 1, 2, ..., K$ . (8)

It has been shown [42] that the Randić-Blaban *EC(R)* values can be expressed in terms of the Pauling bond order [43]:

$$EC(R) = \sum_{rs} b_{rs} \cdot P_{rs}^{p} \tag{9}$$

where the summation goes over all rs bonds in the given ring R,  $b_{rs} = 2$  if rs bond solely belongs to ring R or  $b_{rs} = 1$  if rs bond is shared between ring R and another ring. Recall that the Pauling bond order is defined as:

$$P_{rs}^{p} = \frac{K_{rs}}{K} \tag{10}$$

where  $K_{rs}$  is the number of Kekulé structures in which the bond rs is double. Assuming that

$$\sum_{k=1}^{K} W_k = 1, \tag{11}$$

the bond order of the given *rs* carbon-carbon bond can be defined in a more general way as

$$P_{rs}^{VB} = \sum_{k=1}^{K} \delta_k^{rs} W_k \tag{12}$$

where  $\delta_k^{rs}$  is 1 if the rs bond is double in Kekulé structure k, and 0 otherwise. Evidently, the Pauling bond order is a special case of  $P_{rs}^{VB}$  where all Kekulé structures have the same weight as given by Eq. (8). It can be easily shown that:

$$VB - EC(R) = \sum_{rs} b_{rs} \cdot P_{rs}^{VB} \tag{13}$$

where the summation goes over all rs bonds in the given ring R, with  $b_{rs} = 2$  if rs bond solely belongs to ring R or  $b_{rs} = 1$  if rs bond is shared between ring R and another ring. Another basic property of VB - EC(R) can be immediately recognized:

$$\sum_{R} VB - EC(R) = n_{\pi} \tag{14}$$

where  $n_{\pi}$  is the number of  $\pi$ -electrons of the given polycyclic conjugated system, and summation goes over all rings.

# 2.3. Delocalization and aromaticity indices

The energy effect of a given cycle Z (ef(Z)) [33,34] is defined as the difference between the total  $\pi$ -electron energy and an appropriate reference energy in which the contributions coming from the given cycle are neglected, whereas contributions coming from any other structural feature are taken into account. Within the framework of chemical graph theory the ef(Z) can be calculated as follows:

$$ef(Z) = \frac{2}{\pi} \int_0^\infty \ln \left| \frac{\phi(G, ix)}{\phi(G, ix) + 2\phi(G - Z, ix)} \right| dx \tag{15}$$

where G is the molecular graph representing the  $\pi$ -electron system considered,  $\phi(G,x)$  is its characteristic polynomial, and G-Z is the subgraph obtained by deleting the cycle Z from G.

The multicentre delocalization indices quantify electron delocalization among a given set of atoms [3]. Using the Mulliken partition scheme, the six centre index (*SCI*) can be calculated as follows [36,37]:

$$SCI = \frac{1}{32} \sum_{\mu \in A} \sum_{v \in B} \sum_{\sigma \in C} \cdots \sum_{\xi \in F} \sum_{i=1}^{6!} \Gamma_i [(PS)_{\mu v} (PS)_{v \sigma} \cdots (PS)_{\xi \mu}]$$
 (16)

where P is the density matrix and S is the overlap matrix. The summation goes over all basis functions  $\mu, \nu, \sigma, \ldots, \xi$  centred on the atoms A-F involved in a given six-membered ring, and  $\widehat{\Gamma}_i$  is the permutation operator which for the given set of six basis functions  $\mu, \nu, \sigma, \ldots, \xi$  produces all possible permutations (in total 6! permu-

tations). The multicentre delocalization indices can be calculated using different partition schemes [44–46]. In this work, the natural atomic orbital (NAO) density matrices obtained from NBO analysis [47] were also used to calculate the six centre index ( $SCI^{NAO}$ ). Note that the NAOs are orthonormal (the overlap matrix is a unit matrix) and calculations of  $SCI^{NAO}$  are solely based on the NAO density matrix (cf. Eq. (16)).

The harmonic oscillator model of aromaticity (*HOMA*) index is the geometry-based measure of aromaticity. The *HOMA* index is calculated as [15,35]:

$$HOMA = 1 - \frac{1}{n} \sum_{i=1}^{n} \alpha (R_{opt} - R_i)^2$$
 (17)

where n is the number of bonds of the ring considered,  $\alpha$  is a normalization constant,  $R_{opt}$  is the optimal bond length for a fully delocalized  $\pi$ -electron system, and  $R_i$  stands for an actual bond length. In the present study the parameters proposed by Krygowski were used [15]:  $\alpha_{CC}=257.7$ ,  $\alpha_{CN}=93.52$ ,  $\alpha_{NN}=130.33$ ,  $R_{opt}^{CC}=1.388$ ,  $R_{opt}^{CN}=1.334$  and  $R_{opt}^{NN}=1.309$ . The nucleus independent chemical shift (NICS) index is among

The nucleus independent chemical shift (NICS) index is among the most popular aromaticity indices [17]. Originally, the NICS was defined as the negative value of the isotropic shielding constant calculated at the ring centre. The NICS is a tensor, and different components of the tensor calculated at different positions of a considered system can be used as appropriate indices of aromaticity. In the present study, NICS calculated 1 Å above the ring centre (NICS(1)) was employed.

#### 2.4. Computational details

Geometries of the studied molecules (Fig. 2) were optimized by means of the B3LYP/6-311G(d,p) method using the Gaussian 09 program [48]. NICS were calculated through the gauge-including atomic orbital (GIAO) method [49,50] at the same level of theory. The B3LYP/6-311G(d,p) optimized structures were employed for the HOMA indices calculations. The Mulliken based SCI were calculated from the B3LYP/6-311G(d,p) density matrices, whereas the SCI<sup>NAO</sup> were calculated from the NAO density matrices obtained from NBO analysis as implemented in Gaussian 09 program.

The weights of Kekulé structures needed for calculations of the VB-EC values were obtained from the VBSCF wave functions. For all the studied benzenoid molecules (1-14) the minimal basis set (STO-6G) was used in combination with strictly localized hybrid atomic orbitals (HAOs). In the case of benzenoids with 5 hexagons (7–14) the core-frozen approximation was employed, in which the core orbitals were taken from Hartree-Fock calculations without further optimization. To check the sustainability of the results obtained with the STO-6G basis set, the VBSCF calculations for anthracene and phenanthrene were carried out using the 6-311G (d,p) basis set. Besides, for these two molecules the VB weights obtained with HAOs were compared with the ones obtained with the overlap enhanced orbitals (OEOs), which are mainly localized on one atom, but with small delocalized tails over all other atoms in the molecule (see Section 2.1 for more details). The weights of Kekulé structures for the studied benzenoid molecules (1-14) were also obtained using the so-called pseudo- $\pi$  method. In this approach carbon atoms are replaced by hydrogen atoms in the original carbon positions and the minimal basis set (STO-6G) is used in VBSCF calculations. It has been proved that the pseudo- $\pi$ method can provide the same essential information about the ring currents [51] and electron delocalization [52] in benzenoid hydrocarbons.

Due to their reasonably small size, for the studied aza naphthalene derivatives (15-22) the VBSCF calculations were performed with the 6-311G(d,p) basis set and HAOs.

**Table 1**Coulson-Chirgwin weights of Kekulé structures for phenanthrene and anthracene (Fig. 1) in the different VBSCF wave functions obtained with different basis sets and different VB orbitals.

| Phenanthrene   | 6-311G(d,p) |        | STO-6G |        | Pseudo- $\pi$ |        |
|----------------|-------------|--------|--------|--------|---------------|--------|
|                | HAO         | OEO    | HAO    | OEO    | HAO           | OEO    |
| k <sub>1</sub> | 0.2970      | 0.4179 | 0.3018 | 0.3831 | 0.2763        | 0.3669 |
| $k_2$          | 0.2190      | 0.1910 | 0.2175 | 0.1991 | 0.2181        | 0.2016 |
| $k_3$          | 0.2190      | 0.1910 | 0.2175 | 0.1991 | 0.2181        | 0.2015 |
| $k_4$          | 0.1462      | 0.0803 | 0.1512 | 0.0975 | 0.1599        | 0.1021 |
| $k_5$          | 0.1189      | 0.1192 | 0.1120 | 0.1212 | 0.1277        | 0.1279 |
| Anthracene     |             |        |        |        |               |        |
| $k_1$          | 0.3509      | 0.3580 | 0.3527 | 0.3522 | 0.3340        | 0.3460 |
| $k_2$          | 0.3509      | 0.3580 | 0.3527 | 0.3522 | 0.3340        | 0.3460 |
| k <sub>3</sub> | 0.1491      | 0.1421 | 0.1473 | 0.1478 | 0.1660        | 0.1540 |
| k <sub>4</sub> | 0.1491      | 0.1421 | 0.1473 | 0.1478 | 0.1660        | 0.1540 |

**Table 2**VB-EC values for phenanthrene (1) and anthracene (2) obtained from the Kekulé structure weights given in Table 1, for the two symmetry unique rings A and B (see Fig. 2).

| Phenanthrene         | 6-311G(d,p)      |                  | STO-6G           | STO-6G           |                  |                  |
|----------------------|------------------|------------------|------------------|------------------|------------------|------------------|
|                      | HAO              | OEO              | HAO              | OEO              | HAO              | OEO              |
| A<br>B               | 5.2462<br>3.5076 | 5.1487<br>3.6926 | 5.2568<br>3.4866 | 5.1754<br>3.6492 | 5.2503<br>3.4995 | 5.1758<br>3.6484 |
| Anthracene<br>A<br>B | 4.6490<br>4.7018 | 4.6421<br>4.7159 | 4.6473<br>4.7054 | 4.6478<br>4.7044 | 4.6660<br>4.6679 | 4.6541<br>4.6920 |

**Table 3** *VB-EC* values for **1** and **2** obtained with the VBSCF method using the 6-311G(d,p) basis set and different VB structure weight definitions, for the two symmetry unique rings A and B (see Fig. 2).

| Phenanthrene | $W^{Lowdin}$ | W <sup>Inverse</sup> | $W^{Renorm}$ |
|--------------|--------------|----------------------|--------------|
| A            | 5.2479       | 5.2178               | 5.2360       |
| В            | 3.5042       | 3.5645               | 3.5284       |
| Anthracene   |              |                      |              |
| A            | 4.6517       | 4.7717               | 4.6383       |
| В            | 4.6965       | 4.7766               | 4.7234       |

All VB calculations were performed using the XMVB 2.1 program [53–56]. Calculations of *SCI* and *ef* were performed using our own Fortran routines.

### 3. Results and discussion

# 3.1. Benzenoid hydrocarbons

First the basis set dependence of the calculated VB-EC values was examined for phenanthrene (1) and anthracene (2). As seen from Eq. (6), calculations of the VB-EC values are based on the VB weights of the corresponding Kekulé structures. Table 1 contains the VBSCF Coulson-Chirgwin weights of Kekulé structures of 1 and 2 (Fig. 1) obtained using the 6-311G(d,p) and STO-6G basis set, as well as with the pseudo- $\pi$  approach. In addition, the Coulson-Chirgwin weights were obtained by two VB approaches differing by the type of VB orbitals, VB-HAO and VB-OEO. It can be observed that the values of the VB weights obtained with the minimal basis set, and even with the pseudo- $\pi$  approach are very similar to the ones obtained with the 6-311G(d,p) basis set. In addition, for 2 the VB-HAO and VB-OEO weights are also very similar. In the case of 1, the VB-OEO approach gives a somewhat larger weight for the Kekulé structure k<sub>1</sub> in comparison with the VB-HAO results. The calculated VB-EC values for 1 and 2 obtained with the Coulson-Chirgwin weights are presented in Table 2. As can be seen, small to moderate differences that can be found in the weights of Kekulé structures (Table 1) resulted in

insignificant differences between the VB-EC values. Hence, the simplest pseudo- $\pi$  approach using HAOs provides very similar VB-EC values when compared with the most accurate VB-OEO approach using the 6-311G(d,p). The influence of different types of VB weights on the calculated VB-EC values was also examined. Table 3 provides the VB-EC values for 1 and 2 obtained with the Löwdin, inverseoverlap and renormalized weights for the corresponding Kekulé structures, computed from the VBSCF/6-311G(d,p) wave functions. The values of the weights are given in the Supplementary material (Tables S1 and S2). From Table 3 it can be seen that only the inverse weight based VB-EC values for 2 depart slightly (a bit more than 0.1) from the VB-EC values obtained with other types of VB weights. Anyway, all found differences in the VB-EC values based on different VB weight definitions describe the same local aromaticity distribution in the studied systems. Besides, there is a very good linear correlation between the Coulson-Chirgwin weight based VB-EC values and VB-EC obtained with other VB weights (Fig. S1 in the Supplementary material).

Bearing in mind these findings, all further discussion is based on the VB-EC values obtained with the minimal basis set and the VB-HAO Coulson-Chirgwin weights of Kekulé structures. The calculated aromaticity indices for the studied benzenoids together with VB-EC and EC values are presented in Table 4. Fig. S2 (see Supplementary material) clearly confirm the almost perfect correlation, for the studied benzenoid molecules, between the VB-EC values obtained with the minimal basis set and pseudo- $\pi$  technique (correlation coefficient is 0.9998). Considering the computational effort needed to obtain VB-EC values for the real systems, in the case of large benzenoids the preference should be given to the pseudo- $\pi$ based VB-EC. As can be seen from Table 1, the computed weights for the different types of structures are far from equal, and at first sight the EC can be considered as a crude approximation of the VB-EC values. It is amazing, though, that for the studied benzenoid molecules the values of VB-EC and EC come out so close, with as the worst case a difference between these two quantities still smaller than 0.3 electrons. The correlation between VB-EC and EC is found to be linear, with the correlation coefficient as large as 0.9914 (Fig. 3).

**Table 4** *ef, SCI, SCI<sup>NAO</sup>, HOMA, NICS(1), EC, VB-EC, VB-EC\** of the symmetry-unique rings in the studied benzenoid hydrocarbons (Fig. 2).

| Comp. | Ring             | ef   | SCI  | SCI <sup>NAO</sup>                             | HOMA  | NICS(1)                                       | EC   | VB-EC <sup>a</sup>                             | VB-EC*b  |
|-------|------------------|--|--|--|---|---|--|--|--|
| 1     | A                | 0.1586   | 0.0285   | 0.0317   | 0.8699  | -11.48  | 5.2000   | 5.2568   | 5.2503   |
|       | B                | 0.0534   | 0.0110   | 0.0121   | 0.4611  | -9.08   | 3.6000   | 3.4866   | 3.4995   |
| 2     | A                | 0.0951   | 0.0177   | 0.0198   | 0.6301  | −10.53  | 4.7500   | 4.6473   | 4.6660   |
|       | B                | 0.0653   | 0.0169   | 0.0182   | 0.7224  | −13.77  | 4.5000   | 4.7054   | 4.6679   |
| 3     | A                | 0.1910   | 0.0316   | 0.0349   | 0.9027  | -10.56  | 5.3333   | 5.3656   | 5.3614   |
|       | B                | 0.0242   | 0.0053   | 0.0056   | 0.1000  | -6.06   | 2.0000   | 1.9034   | 1.9158   |
| 4     | A                | 0.1465   | 0.0270   | 0.0300   | 0.8484  | -11.42  | 5.1250   | 5.1528   | 5.1499   |
|       | B                | 0.0689   | 0.0137   | 0.0152   | 0.5693  | -9.80   | 3.8750   | 3.8472   | 3.8500   |
| 5     | A                | 0.1048   | 0.0197   | 0.0220   | 0.7076  | -10.98  | 4.8571   | 4.8203   | 4.8288   |
|       | B                | 0.0796   | 0.0182   | 0.0198   | 0.7587  | -13.01  | 4.5714   | 4.7447   | 4.7140   |
|       | C                | 0.0431   | 0.0078   | 0.0088   | 0.2970  | -7.30   | 3.2857   | 3.0754   | 3.1042   |
|       | D                | 0.1717   | 0.0302   | 0.0334   | 0.8980  | -11.25  | 5.2857   | 5.3598   | 5.3532   |
| 6     | A                | 0.0900   | 0.0150   | 0.0169   | 0.5359  | −9.64   | 4.6000   | 4.4110   | 4.4410   |
|       | B                | 0.0535   | 0.0140   | 0.0151   | 0.6337  | −13.78  | 4.4000   | 4.5862   | 4.5569   |
| 7     | A                | 0.1505   | 0.0275   | 0.0305   | 0.8599  | -11.41  | 5.1538   | 5.1965   | 5.1916   |
|       | B                | 0.0640   | 0.0127   | 0.0142   | 0.5415  | -9.44   | 3.7692   | 3.6934   | 3.7019   |
|       | C                | 0.0903   | 0.0173   | 0.0189   | 0.6698  | -10.37  | 4.1538   | 4.2204   | 4.2130   |
| 8     | A                | 0.1665   | 0.0295   | 0.0327   | 0.8889  | -11.35  | 5.2500   | 5.3195   | 5.3116   |
|       | B                | 0.0469   | 0.0088   | 0.0099   | 0.3791  | -7.93   | 3.4167   | 3.2602   | 3.2824   |
|       | C                | 0.1001   | 0.0208   | 0.0225   | 0.8180  | -12.59  | 4.6667   | 4.8409   | 4.8122   |
| 9     | A                | 0.1020   | 0.0191   | 0.0213   | 0.6911  | -10.77  | 4.8182   | 4.7552   | 4.7683   |
|       | B                | 0.0753   | 0.0178   | 0.0194   | 0.7610  | -13.16  | 4.5455   | 4.7312   | 4.6976   |
|       | C                | 0.0542   | 0.0098   | 0.0111   | 0.4005  | -8.09   | 3.5455   | 3.4001   | 3.4197   |
|       | D                | 0.0741   | 0.0148   | 0.0164   | 0.6103  | -9.95   | 4.0000   | 4.0099   | 4.0123   |
| 10    | A<br>B<br>C<br>D | 0.1427<br>0.1116<br>0.0908<br>0.0204<br>0.2003 | 0.0263<br>0.0205<br>0.0194<br>0.0040<br>0.0325 | 0.0292<br>0.0229<br>0.0211<br>0.0042<br>0.0359 | 0.8361<br>0.7492<br>0.7942<br>-0.0555<br>0.9145 | -11.33<br>-10.72<br>-11.90<br>-4.42<br>-10.11 | 5.0909<br>4.9231<br>4.6154<br>1.6923<br>5.3846 | 5.1039<br>4.8802<br>4.7573<br>1.5412<br>5.4105 | 5.1024<br>4.8855<br>4.7318<br>1.5685<br>5.4072 |
| 11    | A                | 0.1660   | 0.0295   | 0.0327   | 0.8874  | -11.29  | 5.2500   | 5.3192   | 5.3114   |
|       | B                | 0.0469   | 0.0088   | 0.0099   | 0.3708  | -7.90   | 3.4167   | 3.2604   | 3.2825   |
|       | C                | 0.1002   | 0.0201   | 0.0225   | 0.8189  | -12.59  | 4.6667   | 4.8412   | 4.8126   |
| 12    | A                | 0.1087   | 0.0207   | 0.0231   | 0.7411  | -11.00  | 4.9000   | 4.8964   | 4.9002   |
|       | B                | 0.0835   | 0.0183   | 0.0200   | 0.7621  | -12.36  | 4.6000   | 4.7487   | 4.7227   |
|       | C                | 0.0361   | 0.0055   | 0.0065   | 0.1365  | -5.25   | 3.0000   | 2.7096   | 2.7540   |
| 13    | A<br>B<br>C<br>D | 0.0926<br>0.0579<br>0.0633<br>0.0413<br>0.1768 | 0.0162<br>0.0150<br>0.0143<br>0.0066<br>0.0308 | 0.0182<br>0.0161<br>0.0156<br>0.0075<br>0.0341 | 0.5834<br>0.6872<br>0.6362<br>0.2048<br>0.9094  | -10.10<br>-13.82<br>-12.46<br>-6.13<br>-11.01 | 4.6667<br>4.4444<br>4.4444<br>3.1111<br>5.3333 | 4.5298<br>4.6590<br>4.5560<br>2.8458<br>5.4095 | 4.5544<br>4.6225<br>4.5402<br>2.8780<br>5.4049 |
| 14    | A                | 0.0896   | 0.0137   | 0.0155   | 0.4869  | -8.97   | 4.5000   | 4.2740   | 4.2997   |
|       | B                | 0.0507   | 0.0124   | 0.0135   | 0.5684  | -13.31  | 4.3333   | 4.4568   | 4.4357   |
|       | C                | 0.0453   | 0.0123   | 0.0132   | 0.5881  | -14.60  | 4.3333   | 4.5796   | 4.5365   |

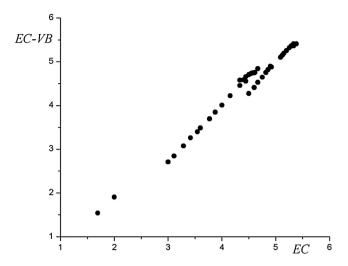
<sup>&</sup>lt;sup>a</sup> VB-EC obtained with the STO-6G basis set.

Although the differences between the *VB-EC* and *EC* values are very small and there is a very good correlation between them, in some cases the two indices can lead to significantly different conclusions concerning chemical properties of a given system. This can be found in the case of linear polyacenes (molecules **2**, **6** and **14**). According to the *VB-EC* values the inner rings in these systems are more aromatic than the terminal rings, whereas the *EC* values predict the opposite trend. The so-called "anthracene problem" [57] refers to the phenomena that different indices predict different order of aromaticity in linear polyacenes. Some aromaticity indices (*e.g. ef, SCI*) predict that the terminal rings are more aromatic than the inner rings in linear polyacenes, whereas some other indices (*e.g. HOMA*, *NICS*) predict the opposite.

The correlation between the original *EC* values and some other aromaticity indices has been already examined [58]. It was found that *EC* is in good correlation with *HOMA* and *NICS*. In the present work the correlation between the *VB-EC* values and the other employed indices was studied for the examined benzenoid

systems (Fig. 4). We focus on the correlation of VB-EC with HOMA, NICS(1), ef and SCI indices, and provide the correlation between the VB-EC and SCI<sup>NAO</sup> in the Supplementary material (Fig. S3) because two differently calculated SCIs used in this work provide essentially the same information on the local aromaticity. As can be seen from Fig 4, the best, but not particularly good linear correlation is found between VB-EC and HOMA (correlation coefficient is 0.9733), and the worst one is between VB-EC and NICS(1) (correlation coefficient of -0.7946 only). A detailed analysis of the presented correlations showed that the data-points are grouped into four clusters pertaining to hexagonal rings with different annelation types (Fig. 5). The four annelation modes that can be found in the studied molecules (1-14) are depicted in Fig. 6. Note that in the benzenoid molecules twelve different annelation modes are possible [59]. As can be seen from the data given in Table 5, the correlations of the VB-EC with the other indices are much better within each of the four clusters of data-points. The best correlations were found between the VB-EC and HOMA, and VB-EC and SCI. Both the VB-EC

 $<sup>^{\</sup>rm b}$  *VB-EC* $^{^{*}}$  obtained with the pseudo- $\pi$  approach.



**Fig. 3.** Correlation between the *VB-EC* and *EC* values calculated for the symmetry-nonequivalent rings in the studied benzenoid molecules (1-14); the correlation coefficient is R = 0.9914.

and SCI provide the measure of  $\pi$ -electrons delocalization in the considered ring, and therefore the good agreement between these two quantities is not surprising. On the other hand, the found correlation between VB-EC and HOMA can be understood by com-

paring Eqs. (13) and (17). Indeed, as the Pauling bond order can be used for calculating carbon-carbon bond lengths [43,59], the *VB-EC* values actually measure in an implicit way the "geometry" manifestations of aromaticity.

# 3.2. Aza derivatives of naphthalene

Although the EC-method can be applied to any conjugated polycyclic hydrocarbons, in most of its applications the six-membered rings of benzenoid hydrocarbons were considered [28,29,58]. Only in a few studies the EC of non-benzenoid systems were examined [60,61]. The EC approach has as limitation that it cannot be applied for monocyclic conjugated systems. For instance, the EC cannot be used to measure the aromaticity of the transition state in the Diels-Alder reaction [62]. In addition, it has been already recognized that the original EC-values in heteroatom-containing molecules are the same as in the parent hydrocarbon molecules, and a new approach aimed at overcoming this failure of the EC-method has been proposed [63]. In this section we show that the VB-EC values can quantify the local aromaticity in heterocyclic conjugated molecules, and this is exemplified in a series of aza derivatives of naphthalene (Fig. 2). Aromaticity of the examined aza derivatives of naphthalene has been much studied in the past [64,65]. As can be seen from Kekulé structures of the studied aza derivatives of naphthalene (Fig. 7), the Randić-Balaban EC-method is not able to distinguish any differences in aromaticity of symmetry unique rings A and B. On the other hand, our VB-EC method is capable to

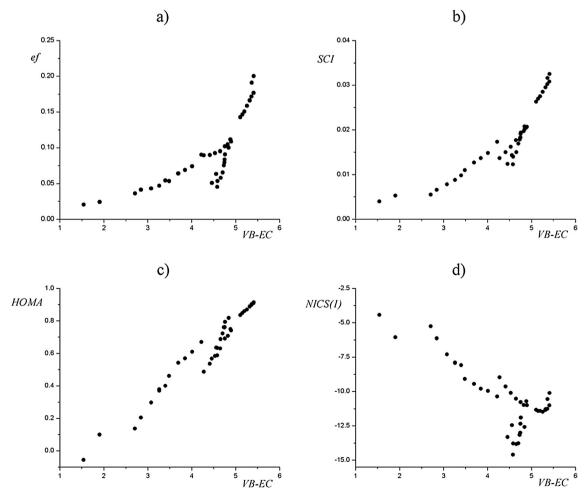
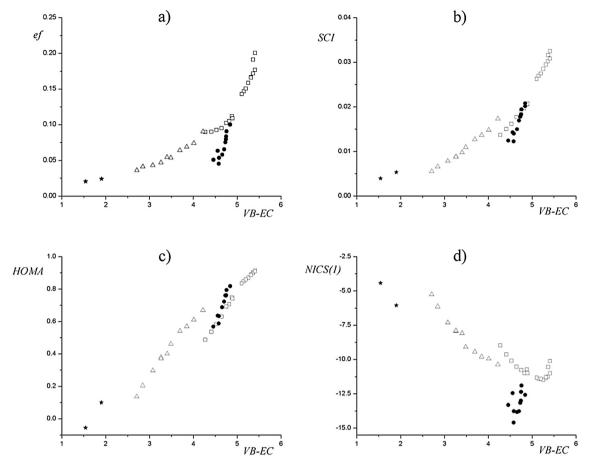


Fig. 4. Dependence of the values of different aromaticity indices for 43 symmetry unique hexagonal rings in molecules 1–14 on the VB-EC values obtained with the STO-6G basis set: (a) ef vs. VB-EC; (b) SCI vs. VB-EC; (c) HOMA vs. VB-EC and (d) NICS(1) vs. VB-EC.



**Fig. 5.** Same data as in Fig. 4; the data-points are separated into four clusters, pertaining to four annelation modes: mode *a* (squares), mode *b* (circles), mode *c* (triangles), and mode *d* (asterisks) (cf. Fig. 6).

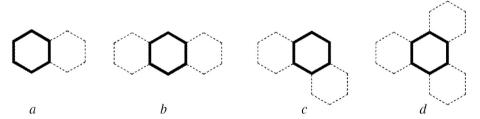
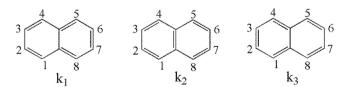


Fig. 6. The four different annelation modes of hexagonal rings that can be found in the studied benzenoid molecules 1-14.

**Table 5**Correlation coefficients for the relations between different aromaticity indices and *VB-EC* within the clusters of data-points pertaining to corresponding annelation modes (cf. Figs. 5 and 6).

|                    | Annelation mode |        |         |  |  |
|--------------------|-----------------|--------|---------|--|--|
|                    | а               | b      | c       |  |  |
| ef                 | 0.9458          | 0.9027 | 0.9714  |  |  |
| SCI                | 0.9878          | 0.9565 | 0.9932  |  |  |
| SCI <sup>NAO</sup> | 0.9877          | 0.9551 | 0.9941  |  |  |
| HOMA               | 0.9954          | 0.9740 | 0.9933  |  |  |
| NICS(1)            | -0.7108         | 0.4849 | -0.9733 |  |  |

assess differences in local aromaticity of rings A and B in the studied aza derivatives. The Coulson-Chirwing weights for the reference naphthalene compound and its aza derivatives **15–22** are displayed in Table 6. The Kekulé structure k<sub>2</sub> (Fig. 7) comes out with the largest weight for all studied systems. Since Kekulé struc-



**Fig. 7.** Kekulé structures of naphthalene and of all its aza derivatives (Fig. 2) and the numbering of the sites in naphthalene where nitrogen atoms can be positioned.

ture  $k_2$  give the symmetric distribution of  $\pi$ -electrons, the difference in aromaticity of rings A and B comes from different VB weights of the structures  $k_1$  and  $k_3$ . In monoaza (**15**, **16**) and in almost all diaza derivatives in which both nitrogen atoms are in the same ring (**18–20**), the Kekulé structure  $k_1$  has a somewhat higher weight than the Kekulé structure  $k_3$ , thus a smaller *VB-EC* value for nitrogen-containing ring A than for ring B. The diaza

**Table 6**Coulson-Chirgwin weights of Kekulé structures of naphthalene and its aza derivatives obtained with the 6-311G(d,p) basis set (Fig. 7).

| Comp.       | $k_1$  | $k_2$  | k <sub>3</sub> |
|-------------|--------|--------|----------------|
| Naphthalene | 0.2664 | 0.4672 | 0.2664         |
| 15          | 0.2675 | 0.4757 | 0.2568         |
| 16          | 0.2715 | 0.4794 | 0.2491         |
| 17          | 0.2376 | 0.4589 | 0.3035         |
| 18          | 0.2719 | 0.4886 | 0.2395         |
| 19          | 0.2727 | 0.4867 | 0.2406         |
| 20          | 0.3182 | 0.5129 | 0.1689         |
| 21          | 0.2494 | 0.4884 | 0.2623         |
| 22          | 0.2480 | 0.4930 | 0.2590         |

derivatives **21** and **22** with one nitrogen atom on each ring display weights very similar to naphthalene, and all three compounds consequently come out with very similar *VB-EC* values.

The calculated aromaticity indices for the studied heterocyclic systems are presented in Table 7. Except for the ef-values, all other indices indicate a very small difference in aromaticity of the two rings in the studied molecules. As can be seen, the VB-EC is capable to assess those sophisticate changes of the local aromaticity. It can be observed that the VB-EC, SCI and NICS(1) values provide very similar conclusions on the local aromaticity distribution in the studied aza naphthalenes. Only in the case of 19 the VB-EC and SCI do not agree, whereas the VB-EC and NICS(1) give opposite predictions in 21. In half of the studied systems (17, 20, 21, 22) the results of the HOMA and VB-EC go along, but not for 15, 16, 18 and **19**. It should be pointed out that in the previous study [65], it has been shown that the HOMA does not agree with the other aromaticity indices in aza naphthalenes. This can be attributed to the parametrization scheme used in the HOMA calculations, which fails to properly describe the bond lengths alternation in the studied heterocyclic molecules. On the other hand, the ef and VB-EC values give opposite predictions, except for 17, for which according to all indices ring A is more aromatic than B. The same conclusions can be deduced by examining the correlations between the VB-EC and the other aromaticity indices for the studied aza naphthalenes (Fig. S4 in the Supplementary material). It can be seen that the best correlations were found in the cases of SCI and NICS(1). Interestingly, the VB-EC is significantly better correlated with the SCI<sup>NAO</sup> than with the SCI obtained from the Mulliken population scheme (see Table 8).

**Table 8**Correlation coefficients for the relations between different aromaticity indices and *VB-EC* of the hexagonal rings in aza derivatives of naphthalene (15–22).

|                    | R       |
|--------------------|---------|
| ef                 | 0.3373  |
| SCI                | 0.7947  |
| SCI <sup>NAO</sup> | 0.9611  |
| HOMA               | 0.6068  |
| NICS(1)            | -0.8123 |

#### 4. Conclusions

In this paper a new measure of local aromaticity based on ab initio VB calculations in combination with Kekulé structures was introduced. This VB-EC approach can be considered as a more accurate version of the original EC method that is based on Kekulé structures with the crude hypothesis of identical weights for the different types of Kekulé structures. For the studied benzenoid molecules, the VB-EC and EC values come out impressively close, with differences not exceeding 0.3 electrons at most. The main disagreement between the VB-EC and EC was found for linear polyacenes, for which the VB-EC method predicts that the inner rings are more aromatic than the terminal ones, whereas the EC method give the opposite prediction. Besides, it was shown that the basis set size, different definitions of VB structure weights, and different types of VB orbitals do not significantly influence the calculated *VB-EC* values. In addition, even the pseudo- $\pi$  based *VB-EC* can provide the same information on the local aromaticity as the VB-EC obtained from regular VB calculations. Such VB-EC indices could therefore be easily determined for quite large systems from weights coming from a pseudo- $\pi$  calculation, without much computational effort. The original EC-values in heteroatom-containing molecules are the same as in parent hydrocarbon molecules. In the present work, for a series of aza derivatives of naphthalene it was shown how the VB-EC quantity can overcome this disadvantage of the EC method. The calculated VB-EC values were compared with several other aromaticity indices that are in common use, and it was found that the VB-EC results are very close to the results of HOMA and SCI indices. The good agreement between the VB-EC and HOMA index for benzenoid molecules was rationalized, by expressing VB-EC in terms of the modified Pauling bond order. Bearing in mind that the Pauling bond order can be used in

**Table 7** *ef, SCI, SCI<sup>NAO</sup>, HOMA, NICS(1), EC, VB-EC* for the examined aza derivatives of naphthalene (Fig. 2).

| Comp.       | Ring | ef     | SCI    | SCI <sup>NAO</sup> | НОМА   | NICS(1) | EC    | VB-EC  |
|-------------|------|--------|--------|--------------------|--------|---------|-------|--------|
| Naphthalene | Α    | 0.1211 | 0.0238 | 0.0264             | 0.7845 | -11.51  | 5.000 | 5.000  |
| 15          | A    | 0.2361 | 0.0230 | 0.0259             | 0.8238 | -11.18  | 5.000 | 4.9893 |
|             | B    | 0.1547 | 0.0238 | 0.0262             | 0.7947 | -11.51  | 5.000 | 5.0107 |
| 16          | A    | 0.2844 | 0.0217 | 0.0257             | 0.8276 | -11.20  | 5.000 | 4.9776 |
|             | B    | 0.1232 | 0.0240 | 0.0265             | 0.8096 | -11.57  | 5.000 | 5.0224 |
| 17          | A    | 0.3535 | 0.0257 | 0.0276             | 0.8847 | -12.04  | 5.000 | 5.0659 |
|             | B    | 0.0503 | 0.0222 | 0.0245             | 0.7980 | -11.51  | 5.000 | 4.9341 |
| 18          | A    | 0.4656 | 0.0222 | 0.0250             | 0.8710 | -10.73  | 5.000 | 4.9676 |
|             | B    | 0.1515 | 0.0239 | 0.0263             | 0.8236 | -11.57  | 5.000 | 5.0324 |
| 19          | A    | 0.2633 | 0.0249 | 0.0259             | 0.8466 | -11.14  | 5.000 | 4.9679 |
|             | B    | 0.0359 | 0.0234 | 0.0256             | 0.8061 | -11.50  | 5.000 | 5.0321 |
| 20          | A    | 0.4402 | 0.0213 | 0.0227             | 0.7733 | -10.60  | 5.000 | 4.8507 |
|             | B    | 0.1272 | 0.0259 | 0.0286             | 0.8741 | -11.97  | 5.000 | 5.1493 |
| 21          | A    | 0.2590 | 0.0232 | 0.0260             | 0.8461 | -11.14  | 5.000 | 5.0129 |
|             | B    | 0.4633 | 0.0225 | 0.0256             | 0.8376 | -11.24  | 5.000 | 4.9871 |
| 22          | A    | 0.3323 | 0.0232 | 0.0262             | 0.8410 | -11.31  | 5.000 | 5.0109 |
|             | B    | 0.6707 | 0.0223 | 0.0256             | 0.8334 | -11.20  | 5.000 | 4.9891 |

calculations of carbon-carbon bond lengths, the *VB-EC* can also assess the geometrical aspects of aromaticity.

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